

# **Regulations on Academic Early Warning Supervision and Elimination Management**

## **Chapter I General Provisions**

### **Article 1 Formulation Basis**

To implement the \*Overall Plan for Deepening the Reform of Educational Evaluation in the New Era\* and the strategic deployment of the \*Strategy for Internationalization of Higher Education 2035\*, benchmark against the quality assurance systems of international higher education (such as the Quality Framework of the European Higher Education Area and the U.S. Higher Education Accreditation System), maintain academic authority, ensure the quality of talent cultivation, and combine the reform direction of international higher education with social responsibility and sustainable development requirements, these regulations are specially formulated.

### **Article 2 Basic Principles**

1. Academic Orientation: Taking academic standards as the core, constructing a whole-process quality monitoring system;
2. International Integration: Learning from international higher education mechanisms such as Outcome-Based Education (OBE) and credit recognition;
3. Humanistic Care: Adhering to "strict management combined with benevolent care", establishing a dynamic management mechanism combining early warning assistance and elimination disposal;
4. Social Responsibility: Serving the university's strategic needs and ensuring that talent cultivation matches social development needs.

### **Article 3 Scope of Application**

These regulations apply to the academic management of full-time undergraduate, junior college, and graduate students, covering the whole process of curriculum learning, scientific research practice, academic integrity, etc.

## **Chapter II Academic Early Warning Supervision Mechanism**

### **Article 4 Early Warning Classification and Standards**

Based on academic completion, academic performance, and development potential, three levels of early warnings are set:

1. Yellow Warning: The cumulative failed credits in the semester reach 20% of the credits to be taken, or the research achievements fail to meet the phased targets;
2. Orange Warning: The cumulative failed credits in the academic year reach 30% of the credits to be taken, or academic misconduct is circulated as a warning;
3. Red Warning: Failing to meet the requirements of the training program for two consecutive academic years, or having serious academic misconduct.

### **Article 5 Dynamic Supervision Process**

1. Data Collection: Relying on the educational administration system, scientific research achievement database, and tutor evaluation, establishing an academic big data monitoring platform;
2. Joint Evaluation: A working group composed of the faculty academic committee, teaching supervision team, and student management department carries out academic quality assessment every semester;
3. Early Warning Feedback: The early warning results must be notified to students and parents in writing, and simultaneously copied to the educational administrative department for filing.

### **Article 6 Assistance Measures**

Implement a "one-on-one" tutor assistance program for students under early warning, providing academic guidance, psychological counseling, and resource support; for students with academic difficulties due to special reasons, they can apply for adjustments to the personalized training program.

## **Chapter III Elimination Management Mechanism**

### **Article 7 Elimination Circumstances**

Where a student has any of the following circumstances, after being deliberated by the university's academic committee, he/she may be dismissed or disqualified from degree conferral:

1. Triggering the Red Warning three consecutive times;
2. Serious academic misconduct such as fabricating research data and plagiarizing papers;
3. Failing to complete the academic studies beyond the maximum study period (6 years for undergraduate, 5 years for master's, and 8 years for Doctor.);
4. Being confirmed through professional evaluation as not having the conditions for continued cultivation.

### **Article 8 Disposal Procedures**

1. Investigation and Evidence Collection: Establish a special investigation team to collect evidence such as academic records and academic review reports; submit to the Academic Ethics and Morals Review Committee for review;
2. Hearing and Appeal: The student may file an appeal within 5 working days after receiving the proposed elimination notice, and the University Academic Arbitration Committee shall review it;
3. Publicity and Filing: The final decision must be publicized for 7 working days and reported to the Ministry of Education or educational administrative department for filing.

### **Article 9 Follow-up Management**

The archives of eliminated students shall be transferred to the university's archives for

storage, and they are allowed to apply for re-enrollment or transfer to qualified educational institutions for continued study within 1 year.

## **Chapter IV Quality Assurance and Responsibility Implementation**

### **Article 10 Main Responsibilities**

1. Higher Education Institutions: Establish a two-level quality assurance system of the university and faculty, and issue the \*Academic Quality White Paper\* every year;
2. Teachers: Implement the tutor responsibility system and regularly submit students' academic development reports;
3. Students: Sign the \*Academic Integrity Commitment Letter\* and fulfill academic responsibilities and obligations.

### **Article 11 Supervision and Evaluation**

Carry out special inspections of academic management every three years, and introduce third-party evaluation institutions (such as educational professional certification associations) to conduct international standard benchmarking evaluations.

### **Article 12 International Connection**

For students eliminated due to academic reasons, the university should provide credit certification support and promote credit recognition and joint training with universities in countries along the Belt and Road or international universities.

## **Chapter V Supplementary Provisions**

### **Article 13 Entry into Force and Interpretation**

These regulations shall come into effect as of the date of issuance and shall be interpreted by the Academic Committee.

### **Article 14 Implementation Rules**

Each faculty (campus) shall formulate implementation rules in accordance with these regulations and report them to the Academic Committee for filing.

### **Annexes:**

1. Template of Academic Early Warning Notice
2. Standards and Procedures for Identification of Academic Misconduct
3. List of Reference Documents on International Higher Education Quality Assurance

These regulations are based on the direction of educational reform, integrate international higher education management experience, not only strengthen the rigid constraints of academic authority but also reflect the flexible care in the education process. At the same time, through mechanisms such as data-based supervision and international connection, a forward-looking higher education quality assurance system is constructed.